

# Automated Pneumonia Detection in Chest X-Ray Images Using Deep Learning Model

Shaojie Li <sup>1,\*</sup>, Yuhong Mo <sup>2</sup> and Zhenglin Li <sup>3</sup>

<sup>1</sup> Computer Technology, Huacong Qingjiao Information Technology (Beijing) Co., Ltd., Beijing 100080, China

<sup>2</sup> Electrical and Computer Engineering, Carnegie Mellon University, Pittsburgh, PA 15213, USA

<sup>3</sup> Computer Science, Texas A&M University, College Station, TX 77843, USA

**Abstract:** In the medical field, especially for the rapid diagnosis of pneumonia, enhancements in accuracy and efficiency are crucial for patient treatment and recovery. Traditional analysis of chest X-ray images relies on the professional judgment of radiologists, which can be time-consuming and may vary with the doctor's level of experience. With the rapid development of deep learning technology, particularly the widespread application of Convolutional Neural Networks (CNNs) in image processing, we now have the opportunity to use these advanced technologies to automate the process of diagnosing pneumonia. This study has constructed a deep learning model using the TensorFlow and Keras frameworks, aiming to automatically detect pneumonia from chest X-ray images. The construction process of the model involved complex data preprocessing, model design, and parameter tuning. Our model utilizes multiple layers of convolutional networks to capture image features and employs fully connected layers for classification. After rigorous training and validation, our model achieved an accuracy of 97% on the test set. This result demonstrates the effectiveness of the model in identifying pneumonia. Such improved performance offers a powerful tool for physicians, promising to significantly increase the speed and consistency of pneumonia diagnosis, ultimately enhancing patient treatment outcomes.

**Keywords:** medical diagnosis; pneumonia; deep learning; convolutional neural networks; tensorflow; sequential model

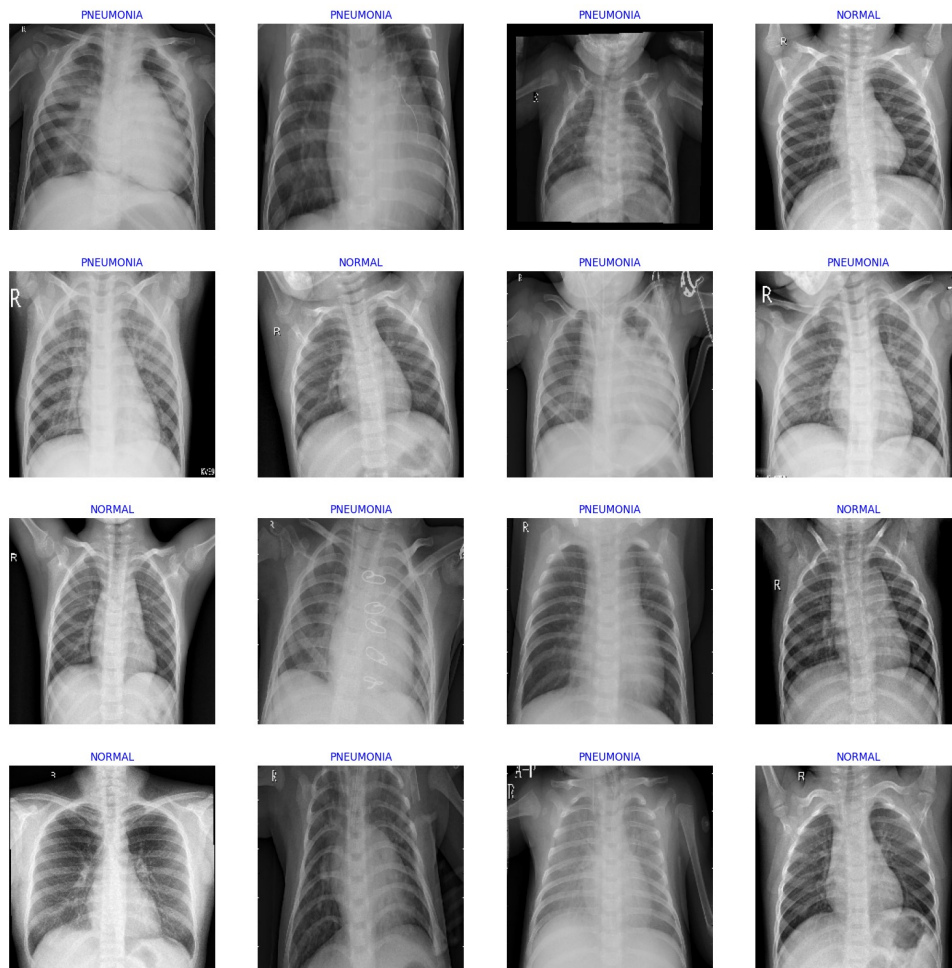
## 1. Introduction

Chest X-rays are a widely used diagnostic tool that can provide vital information about the condition of the lungs [1]. By analyzing chest X-ray images, doctors can observe abnormalities in the lungs, such as infections, tumors, fluid accumulation, and other lung diseases [2]. Among all these conditions, pneumonia is a common lung infection that can be caused by various agents, including bacteria, viruses, and fungi. The diagnosis of pneumonia typically relies on a doctor's interpretation of chest X-ray images, a process that can be time-consuming and subjective.

With advancements in deep learning technology [3], particularly in image recognition and classification, Convolutional Neural Networks (CNNs) have become a powerful tool for automatic analysis of medical imagery [4]. Utilizing these technologies, automated tools can be developed to assist doctors in identifying abnormal features in images more quickly, thus facilitating earlier diagnosis and treatment.

The aim of this study is to construct a deep learning-based CNN model [5] that can accurately and efficiently identify pneumonia from chest X-ray images, assisting doctors in making swift diagnoses, improving treatment efficiency, and ultimately enhancing patient treatment outcomes. With this model, we expect to shorten diagnostic times, reduce dependence on radiologists, and maintain high accuracy, providing patients with better quality medical care [6]. The dataset used in this study comprises chest X-ray images collected from a retrospective cohort study of pediatric patients aged one to five years at the Guangzhou Women and Children's Medical Center. All the chest X-ray imaging was performed as part of routine clinical care for the patients. To ensure data quality, all chest X-ray images underwent quality control screening prior to the analysis phase, removing any scans of poor quality or unreadable images. Subsequently, the images were diagnosed and graded by two experienced physicians to ensure accuracy before being used to train the artificial intelligence system.

This dataset contains a total of 5,863 JPEG images of chest X-rays, which have been labeled into two categories: pneumonia and normal. The images are divided into three distinct sets: a training set to train the model, a validation set to adjust model parameters, and a test set to assess model performance. This division allows for fine-tuning of the model during the training process and ultimately accurate assessment of its classification capabilities on new data. The Chest X-Ray are shown in Figure 1.



**Figure 1.** A Visual Comparison of Chest X-Ray.

## 2. Related Work

### 2.1. Convolutional Neural Networks (CNN)

Convolutional Neural Networks (CNNs) are a formidable tool in the field of deep learning [7], especially suited for processing image and video data. By emulating the human visual system, they are capable of automatically extracting image features on multiple levels, from basic edges and textures to more complex

patterns. A CNN is composed of convolutional layers, pooling layers, and fully connected layers. The convolutional layers are responsible for extracting local features, pooling layers are used for dimensionality reduction and feature selection, and the fully connected layers are in charge of the final decision-making tasks. This architecture enables CNNs to excel in a variety of visual recognition tasks, such as facial recognition, image classification, and object detection, demonstrating outstanding performance due to their ability to learn hierarchical patterns in data.

## 2.2. Sequential Model

The Sequential model is a simple way to build a linear stack of network layers within a deep learning framework [8]. In this type of model, developers can construct a neural network by simply adding layers, where each layer is automatically configured to take the output of the previous layer as its input. This model is particularly well-suited for defining clear feedforward neural networks where each layer has one input and one output, with no skips or branches between layers. The nature of the Sequential model makes it very popular when implementing and debugging new network architectures, especially for beginners, as it allows for the rapid definition of prototypes with minimal code [9].

When using the Sequential model, one usually starts by defining the input layer, which specifies the shape of the input data that the network is expected to receive. Afterward, developers sequentially add various types of layers, such as convolutional layers, activation layers, pooling layers, and fully connected layers. These layers process data in the order they are defined, leading up to the final output layer, which produces the final output of the model, such as a probability distribution in a multi-class classification problem. The linear structure of the Sequential model simplifies the network design process, but it also means that it is not suitable for creating models with complex topologies, such as those with multiple inputs or outputs, or models with residual connections between layers.

## 3. Data Processing and Model Construction

In this research work, we utilized TensorFlow [10] and Keras frameworks to develop a deep learning model for pneumonia detection. TensorFlow is an open-source software library developed by Google, widely used in machine learning and particularly adept at performing complex numerical computations. Keras is a high-level neural network API built on top of TensorFlow, designed to accelerate the design and testing of neural networks through simple and user-friendly tools, thus making the development of deep learning models more efficient and user-friendly [11].

During the data processing phase, we used Keras's `ImageDataGenerator` class and `flowfromdataframe` method to automate the image data processing, resizing images to a uniform size (224x224 pixels) and batching them (with `batchsize` set to 16). This allows us to directly read file paths (`xcol=filepaths`) and labels (`y_col=label`) from a `DataFrame`, and then load the color images in RGB format. For training (`traingen`) and validation (`validgen`) phases, we set `shuffle=True` to shuffle the data before each epoch, reducing the risk of sequence dependencies and overfitting. For the test set (`testgen`), we use `shuffle=False` to ensure the order of evaluation remains consistent.

The design of this workflow aims to improve the efficiency of model training and optimize memory usage. By standardizing the image size for common pre-trained networks and augmenting images in real-time during training, we increased data diversity, which helps to enhance the model's generalization ability on unseen data and reduce the likelihood of overfitting. All these details contribute to an effective and powerful strategy for image data preprocessing and enhancement, forming a solid foundation for deep learning model training.

Our project constructed a VGG-style convolutional neural network using the TensorFlow and Keras frameworks for pneumonia detection. The network consists of multiple convolutional blocks, each containing 2 to 3 `Conv2D` layers with the ReLU activation function followed by a `MaxPooling2D` layer for feature extraction. The network starts with two `Conv2D` layers with 64 filters, followed by two with 128 filters, then three with 256 filters, and finally two with 512 filters, each convolutional block followed by a max-pooling layer. Subsequently, a `Flatten` layer is used to flatten the two-dimensional feature maps into a one-dimensional array to

feed into the following fully connected layers. The model also includes two densely connected layers with 256 and 64 nodes, respectively, with the ReLU activation function. Despite the recommendation to include Dropout layers between fully connected layers to mitigate overfitting, this was not included in our initial code. The network concludes with a Dense layer using the softmax activation function to output probabilities for various classes, providing the final classification for pneumonia detection.

When compiling the model, we chose the Adamax optimizer for its efficient computation and low memory requirements. The loss function used was categorical\_crossentropy, as we are dealing with a multi-class classification problem. Accuracy was the metric for evaluating model performance.

To assess the performance of our pneumonia detection model, we employed several key metrics, including Precision, Recall, F1-score, and Support. These metrics form the foundation for a comprehensive assessment of the model, enabling us to quantify its performance and make necessary optimizations when needed.

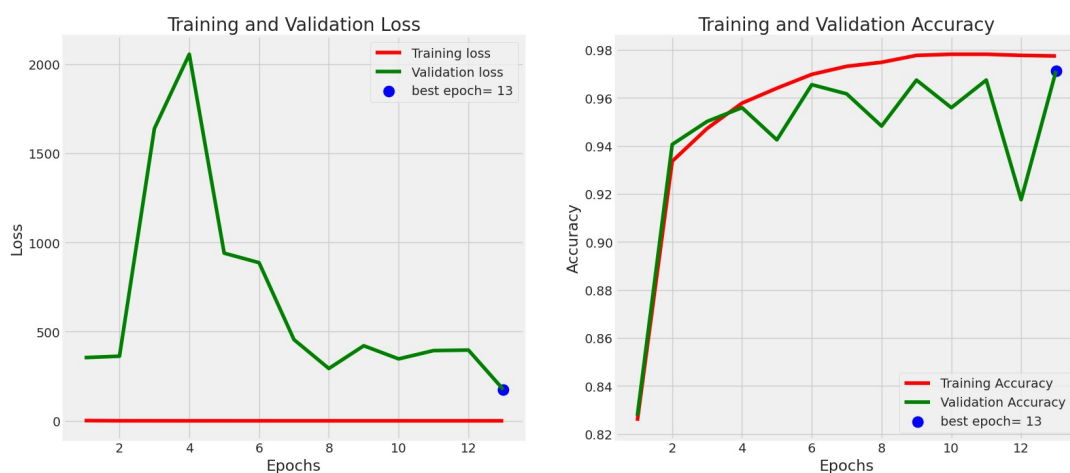
#### 4. Results and Analysis

After completing the design, compilation, and training of the model, we conducted a thorough evaluation and obtained the experimental results. This deep learning model was specifically optimized and adjusted for the task of pneumonia diagnosis. Its design takes into account the unique properties of chest X-ray images and the challenges encountered in diagnosis, such as varying image quality, differences in lung anatomical structure, and the diversity of pneumonia lesions. The model effectively identifies signs of pneumonia in chest X-ray images by integrating complex feature extraction layers with precise classifiers.

During the training process, the model continuously learns the lesion characteristics within the images, from subtle infiltrative shadows to more obvious consolidation. In particular, the model is capable of distinguishing patterns unique to pneumonia, such as alveolar congestion, signs of interstitial disease, and other lesions that may be confused with pneumonia. By iterating over a large amount of training data, and employing data augmentation and regularization techniques to avoid overfitting, the model ultimately achieved a high level of accuracy and reliability. The experimental results are shown in Table 1 and Figure 2.

**Table 1.** Pneumonia Classification Report.

Class	Precision	Recall	F1-score	Support
NORMAL	0.93	0.96	0.95	156
PNEUMONIA	0.98	0.97	0.98	366
Accuracy			0.97	522
Macro avg	0.96	0.97	0.96	522
Weighted avg	0.97	0.97	0.97	522



**Figure 2.** Model Accuracy.

Specifically, the model achieved an overall accuracy of 97% on the test set, demonstrating exceptional performance. In terms of precision for each category, the model had a precision of 93% for normal chest X-rays and an even higher precision of 98% for pneumonia chest X-rays. This means that 93% of the chest X-rays predicted to be normal by the model were indeed normal, and 98% of the samples predicted to be pneumonia were true cases of pneumonia. In terms of recall, the model had a recall of 96% for normal chest X-rays and 97% for pneumonia chest X-rays, indicating that the model could correctly identify 96% of the actual normal chest X-rays and 97% of the actual pneumonia chest X-rays. The F1 score, a harmonic mean of precision and recall, was 0.95 for normal chest X-rays and 0.98 for pneumonia chest X-rays, which again confirms that the model achieved a good balance between the two key indicators. The Macro Average and Weighted Average results were also very close, at 96% and 97% respectively, further indicating that the model performed very consistently across all categories. The support shows the number of samples in each category in the test set, with 156 normal chest X-rays and 366 pneumonia chest X-rays, providing a sufficient sample base for the evaluation results and ensuring the stability and reliability of the statistical data. Overall, these results indicate that our model has a high degree of accuracy and reliability in identifying and classifying pneumonia chest X-ray images, demonstrating its potential application value in the field of medical diagnostics. In a clinical setting, the application of this model could greatly assist doctors in quickly screening for pneumonia cases, especially in situations where resources are scarce or a rapid response is required. Moreover, the high precision of the model indicates significant potential in reducing false positives and false negatives, which is crucial for ensuring that patients receive timely and appropriate treatment. Therefore, this deep learning model, specifically designed for pneumonia detection, not only performs excellently in technical terms but also holds significant clinical application value in improving patient care and medical decision-making.

## 5. Summary and Outlook

In the course of our in-depth research and experimentation, our custom neural network model has demonstrated exceptional performance in the task of pneumonia detection, achieving an overall accuracy of 97%. This highly accurate diagnostic result not only proves the immense potential of deep learning in the field of medical image analysis but also heralds its possibility as a powerful auxiliary tool in future clinical applications. This achievement underscores the important value of modern artificial intelligence technology in enhancing the precision and efficiency of medical diagnoses.

Looking to the future, the potential for this model in clinical applications is vast. Its high accuracy and reliability can assist doctors in diagnosing pneumonia more quickly and accurately, particularly when faced with a large number of cases and in emergency situations, where the model can serve as a powerful support tool. In addition, the application of such models can also help to alleviate the workload of medical professionals and improve the efficiency of medical services.

Future work can be carried out in several areas:

(1) Dataset Expansion and Diversity: Improving the model's generalizability by training on more diverse and larger datasets.

(2) Clinical Trials: Conducting more clinical trials to validate the model's actual efficacy and safety.

(3) Interpretability and Trustworthiness: Enhancing the interpretability of the model, allowing doctors to understand the predictive decision-making process of the model, thereby increasing trust in the model.

Through continued research and improvement in these areas, we hope to further enhance model performance, ultimately leading to broader application in clinical practice and providing more efficient and precise diagnostic services for patients with pneumonia.

## Funding

Not applicable.

### Author Contributions

Conceptualization, S.L.; writ-ing—original draft preparation and writing—review and editing, S.L., Y.M. and Z. L. All authors have read and agreed to the published version of the manuscript.

### Institutional Review Board Statement

Not applicable.

### Informed Consent Statement

Not applicable.

### Data Availability Statement

Not applicable.

### Conflicts of Interest

The authors declare no conflict of interest.

### References

- 1 Candemir S, Antani S. A Review on Lung Boundary Detection in Chest X-Rays. *Int J Comput Assist Radiol Surg* 2019; **14**(4): 563–576.
- 2 Joo HS, Wong J, Naik VN, Savoldelli GL. The Value of Screening Preoperative Chest X-Rays: a Systematic Review. *Can J Anaesth* 2005; **52**(6): 568–74.
- 3 LeCun Y, Bengio Y, Hinton G. Deep Learning. *Nature* 2015; **521**(7553): 436–444.
- 4 Guo R, Passi K, Jain CK. Tuberculosis Diagnostics and Localization in Chest X-Rays Via Deep Learning Models. *Front Artif Intell* 2020; **3**: 583427.
- 5 O’shea K, Nash R. An Introduction to Convolutional Neural Networks. 2020. arXiv:1511.08458.
- 6 Jaiswal AK, Tiwari P, Kumar S, Gupta D, Khanna A, Rodrigues JJPC. Identifying Pneumonia in Chest X-Rays: A Deep Learning Approach. *Measurement* 2019; **145**: 511–518.
- 7 Li Z, Liu F, Yang W, Peng S, Zhou J. A Survey of Convolutional Neural Networks: Analysis, Applications, and Prospects. *IEEE Transactions on Neural Networks and Learning Systems* 2022; **33**(12): 6999–7019.
- 8 Parvat A, Chavan J, Kadam S, Dev S, Pathak V. A Survey of Deep-Learning Frameworks. In Proceedings of the 2017 International Conference on Inventive Systems and Control (ICISC), Coimbatore, India, 19–20 January 2017.
- 9 Denoyer L, Gallinari P. Deep Sequential Neural Network. 2014. arXiv:1410.0510.
- 10 Abadi M, Barham P, Chen J, Chen Z, Davis A, Dean J, et al. TensorFlow: A System for Large-Scale Machine Learning. In Proceedings of the 12th USENIX Symposium on Operating Systems Design and Implementation (OSDI 16), Savannah, GA, USA, 2–4 November 2016.
- 11 Gulli A, Pal S. *Deep Learning With Keras*; Packt Publishing Ltd.: Birmingham, UK, 2017.

